

Electronic Acknowledgement Receipt

EFS ID:	2405358
Application Number:	10077182
International Application Number:	
Confirmation Number:	9522
Title of Invention:	Balancing arbitragable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/Janine Goodwin
Filer Authorized By:	Denis G. Maloney
Attorney Docket Number:	09857-092001
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Application Type:	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$ 930
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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1		reply09857_092001.pdf	4778320 ab211e26a5344a2692605446bc8b6157a48ef65	yes	24
	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Amendment After Final	1	1		
	Claims	2	8		
	Applicant Arguments/Remarks Made in an Amendment	9	24		
Warnings:					
Information:					
2	Extension of Time	ext09857_092001.pdf	135382 c2b3148729637ebac730e50e5cf5b974c575642c	no	1
Warnings:					
Information:					
3	Information Disclosure Statement (IDS) Filed	ids09857_092001.pdf	366681 c80c034591cac0ba7c9bd0194a349a3c565d1b2	no	2
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
4	NPL Documents	WO03042779.pdf	132531 c4271c919bd25c3f5062a965da2e3d5112b567c	no	3
Warnings:					
Information:					
5	NPL Documents	WO2001027106.pdf	792700 eb3eaa050150219e29a5284935d601635c3183ad	no	27
Warnings:					
Information:					
6	Request for Continued Examination (RCE)	rce09857_092001.pdf	263979 684701d6a4526409d93225647335e0dd938348bc71	no	1
Warnings:					
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Information:					
7	Fee Worksheet (PTO-06)	fee-info.pdf	8304 033770e7aaf4b4b6746415a0b5573aef50531964	no	2

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.